# MEMORANDUM – 2026-028

**TO** : **ALL TRADING PARTICIPANTS**

**SUBJECT** : **EMPLOYEE MOVEMENT**

**DATE** : **05 November 2026**

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement,** Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 October 2026.

For information and guidance.

*(original signed)*
**GERARD B. SANVICTORES**
*President*

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| ACD | FHRAD | IED | SD |

| DATE OF EFFECTIVITY | LAST NAME | FIRST NAME | PREVIOUS POSITION | PRESENT POSITION | TRADING PARTICIPANT | REMARKS |
|---|---|---|---|---|---|---|
| 29-Oct-26 | STA. ANA | JEMERALD JOSUE M. | MESSENGER | - | QUALITY INVESTMENTS & SECURITIES CORP. | RESIGNATION (cleared) |
| 27-Oct-26 | MENDOZA | JHOANNA MAE D. | SALESMAN/ TRADER | - | MERCANTILE SECURITIES CORPORATION | RESIGNATION (did not apply for clearance) |
| 27-Oct-26 | GONZAGA | PAUL JOHN R. | INDEPENDENT DIRECTOR | - | MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC. | RESIGNATION (cleared) |
| 27-Oct-26 | ENAD | ANN MARIE M. | - | INDEPENDENT DIRECTOR | MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC. | NEW EMPLOYMENT |
| 26-Oct-26 | PALAFOX | NICOLE ANNE A. | - | CERTIFIED UITF SPECIALIST | COL FINANCIAL GROUP, INC. | NEW EMPLOYMENT |
| 26-Oct-26 | DE OCAMPO | ELENITA P. | - | CERTIFIED UITF SPECIALIST | COL FINANCIAL GROUP, INC. | NEW EMPLOYMENT |
| 26-Oct-26 | TING | JULIETTE KARIN I. | - | CERTIFIED UITF SPECIALIST | COL FINANCIAL GROUP, INC. | NEW EMPLOYMENT |
| 26-Oct-26 | MOLINA | ABIGAILE B. | - | OFFICE STAFF | ABACUS SECURITIES CORPORATION | NEW EMPLOYMENT |
| 24-Oct-26 | GANTANG | ANGELICA R. | HR SPECIALIST | - | COL FINANCIAL GROUP, INC. | RESIGNATION (clearance under process) |
| 22-Oct-26 | OBIEREZ | ANDRE MIKHAIL F. | EQUITY INVESTMENT ADVISER / SALESMAN | - | UNICAPITAL SECURITIES, INC. | RESIGNATION (cleared) |
| 22-Oct-26 | TAN | ARLYN T. | CERTIFIED UITF SPECIALIST | - | COL FINANCIAL GROUP, INC. | RESIGNATION (clearance under process) |
| 21-Oct-26 | TAN | MARGARETT ANDRE V. | - | SALES AND TRADING ASSISTANT | J. P. MORGAN SECURITIES PHILIPPINES, INC. | NEW EMPLOYMENT |
| 19-Oct-26 | NESTEROV | ANTON S. | - | CONSULTANT | WECAP FINANCIAL, INC. | NEW EMPLOYMENT |
| 19-Oct-26 | LAZATIN | PEACHES G. | - | HR ASSOCIATE | CTS GLOBAL EQUITY GROUP, INC. | NEW EMPLOYMENT |
| 19-Oct-26 | VALDEZ | RICHARD S. | LICENSED SALESMAN | - | GLOBALINKS SECURITIES & STOCKS, INC. | RESIGNATION (cleared) |

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| 19-Oct-26 | GARCIA | STEFANIE CERILE A. | OFFICE ASSISTANT - AGENCY | - | AB CAPITAL SECURITIES, INC. | RESIGNATION (cleared) |
| 19-Oct-26 | MONZON | MA. LUISA DENISE A. | - | SALES AND MARKETING COORDINATOR | ABACUS SECURITIES CORPORATION | NEW EMPLOYMENT |
| 18-Oct-26 | SABBUN | STEPHEN JOHN C. | DATABASE ADMINISTRATOR | - | FIRST METRO SECURITIES BROKERAGE CORPORATION | RESIGNATION (clearance under process) |
| 16-Oct-26 | ABADA | MARK Z. | AGENT | - | PHILIPPINE EQUITY PARTNERS, INC. | RESIGNATION (cleared) |
| 16-Oct-26 | PINEDA | JOMARI ANGELO Q. | - | FUNDS MANAGEMENT OFFICER | FIRST METRO SECURITIES BROKERAGE CORPORATION | NEW EMPLOYMENT |
| 16-Oct-26 | LIMLINGAN | VICTOR ALFONSO A. | AP | - | REGINA CAPITAL DEVELOPMENT CORPORATION | RESIGNATION (cleared) |
| 16-Oct-26 | PAGASPAS | MARILEN F. | BACKROOM STAFF | - | LUCKY SECURITIES, INC. | RESIGNATION (cleared) |
| 16-Oct-26 | RODRIGUEZ | ISABELLA MAURA V. | RESEARCH ANALYST | - | BDO SECURITIES CORPORATION | RESIGNATION (transfer to BDO Trust and Investment Group) |
| 16-Oct-26 | VILLANUEVA | JOHN MICO A. | - | IT ASSISTANT | PAPA SECURITIES CORPORATION | NEW EMPLOYMENT |
| 16-Oct-26 | DAYAO | RAYMOND C. | IT ADMINISTRATOR | - | PAPA SECURITIES CORPORATION | RESIGNATION (cleared) |
| 16-Oct-26 | MANANSALA | JENNY ANNE B. | ACCOUNTANT | - | SUPREME STOCKBROKERS, INC. | RESIGNATION (did not apply for clearance) |
| 15-Oct-26 | TOLENTINO | IKE T. | FINANCE MANAGER | - | EAST WEST CAPITAL CORPORATION | RESIGNATION (clearance under process) |
| 15-Oct-26 | NOCERALE | CHRISTINE Q. | - | HR & ADMIN ASSISTANT | ABACUS SECURITIES CORPORATION | NEW EMPLOYMENT |
| 14-Oct-26 | ASI | DANILO M. | MESSENGER | - | AAA SOUTHEAST EQUITIES, INC. | RESIGNATION (cleared) |
| 13-Oct-26 | ABELLA | ROVELYN A. | - | ACCOUNTING ASSISTANT | SUNSECURITIES, INC. | NEW EMPLOYMENT |

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|---|---|---|---|---|---|---|
| 13-Oct-26 | LAXAMANA | DARYLLWAN P. | - | ACCOUNTING ASSOCIATE | DRAGONFI SECURITIES INC. | NEW EMPLOYMENT |
| 13-Oct-26 | TORRALBA | PATRICIA C. | - | ACCOUNTING ASSOCIATE | PHILSTOCKS FINANCIAL, INC. | NEW EMPLOYMENT |
| 13-Oct-26 | BINUEZA | LIYAN LUISE D. | SETTLEMENT ASSISTANT | - | AB CAPITAL SECURITIES, INC. | RESIGNATION (clearance under process) |
| 12-Oct-26 | GABA | ALFREDO KLARK V. | - | RESEARCH ANALYST | CLSA PHILIPPINES, INC. | NEW EMPLOYMENT |
| 12-Oct-26 | PAELDIN | LOIDA C. | ACCOUNTING ASSISTANT | - | SUNSECURITIES, INC. | RESIGNATION (cleared) |
| 12-Oct-26 | PINEDA | JOMARI ANGELO Q. | SALESMAN/ PROPRIETARY TRADER | - | UNICAPITAL SECURITIES, INC. | RESIGNATION (cleared) |
| 12-Oct-26 | FLORDELIZA | MIGUEL JAY D. | - | FINANCE SPECIALIST | META CAPITAL SECURITIES, INC. | NEW EMPLOYMENT |
| 12-Oct-26 | BAKIRAN | KLEMEN PAUL A. | - | ACCOUNTANT | UOB-KAY HIAN SECURITIES (PHILIPPINES), INC. | NEW EMPLOYMENT |
| 12-Oct-26 | BALTAZAR | SHEKINAH A. | SENIOR FULL STACK DEVELOPER | - | DRAGONFI SECURITIES INC. | RESIGNATION (cleared) |
| 8-Oct-26 | GAVIÑO | PAOLO P. | - | CERTIFIED UITF SPECIALIST | COL FINANCIAL GROUP, INC. | NEW EMPLOYMENT |
| 7-Oct-26 | PAELDIN | LOIDA C. | - | ACCOUNTING ASSISTANT | SUNSECURITIES, INC. | NEW EMPLOYMENT |
| 7-Oct-26 | IMPERIAL | BENJAMIN JORGE S. | CERTIFIED MUTUAL FUND SPECIALIST | - | COL FINANCIAL GROUP, INC. | RESIGNATION (clearance under process) |
| 7-Oct-26 | CAPALUNGAN | VENRAYGEN V. | TECHNICAL SUPPORT | - | AB CAPITAL SECURITIES, INC. | RESIGNATION (clearance under process) |
| 6-Oct-26 | CABELIZA | TESSALONICA V. | EQUITY INVESTMENT ADVISER - SALESMAN | - | UNICAPITAL SECURITIES, INC. | RESIGNATION (cleared) |
| 5-Oct-26 | ALBITOS | TEX F. | - | IT CONSULTANT | CLSA PHILIPPINES, INC. | NEW EMPLOYMENT |

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| 5-Oct-26 | CRUZ | NORMAN CHRISTIAN F. | - | RESEARCH ASSOCIATE, FMS-EMRD, CBC | CHINA BANK SECURITIES CORPORATION | NEW EMPLOYMENT |
| 5-Oct-26 | VALENCIANO | KEN ALWYN S. | - | JUNIOR SOFTWARE QA ENGINEER | DRAGONFI SECURITIES INC. | NEW EMPLOYMENT |
| 5-Oct-26 | SALAZAR | JHAMES NATHANNIEL B. | - | RESEARCH ASSISTANT | ABACUS SECURITIES CORPORATION | NEW EMPLOYMENT |
| 2-Oct-26 | OLIVER | BRETHERN | COMPLIANCE AND RISK ASSOCIATE | - | CHINA BANK SECURITIES CORPORATION | RESIGNATION (cleared) |
| 2-Oct-26 | VEDAD | JOSE MARIA G. | - | HEAD OF SETTLEMENTS | AB CAPITAL SECURITIES, INC. | NEW EMPLOYMENT |
| 2-Oct-26 | BASE | ANGELICA KEITH A. | - | CORPORATE SECRETARY | ASIASEC EQUITIES, INC. | NEW EMPLOYMENT |
| 1-Oct-26 | DE GUZMAN | MICHAEL JHON P. | - | OPERATIONS SUPPORT - ANALYST | J. P. MORGAN SECURITIES PHILIPPINES, INC. | NEW EMPLOYMENT |
| 1-Oct-26 | MEDRANO | JHEROME STEVEN J. | TRAINEE | ACCOUNTING ASSISTANT | A.T. DE CASTRO SECURITIES CORPORATION | RE-DESIGNATION |
| 1-Oct-26 | LEE | CAMELA JELLENE Y. | TRADER | ADMINISTRATIVE ASSISTANT | EVERGREEN STOCK BROKERAGE & SECURITIES, INC. | RE-DESIGNATION |
| 1-Oct-26 | BAUTISTA | AL-JEAN ROGE NICOLE S. | - | HUMAN RESOURCES OFFICER | FIRST METRO SECURITIES BROKERAGE CORPORATION | NEW EMPLOYMENT |
| 1-Oct-26 | NER | RENE JOSEPH P. | - | DEPUTY CEO | RCBC SECURITIES, INC. | NEW EMPLOYMENT |
| 1-Oct-26 | DE LEON | FERDINAND | - | FINANCE MANAGER | EAST WEST CAPITAL CORPORATION | NEW EMPLOYMENT |
| 1-Oct-26 | PALOMO | CYRIL L. | NEW ACCOUNT PROCESSOR | SETTLEMENT ASSISTANT | AB CAPITAL SECURITIES, INC. | RE-DESIGNATION |
| 1-Oct-26 | YUNG | PETER C. | - | CONSULTANT - SALES UNIT MANAGER | META CAPITAL SECURITIES, INC. | NEW EMPLOYMENT |
| 1-Oct-26 | ROBIN | MICHILINE FER A. | - | CONSULTANT - SALES UNIT MANAGER | META CAPITAL SECURITIES, INC. | NEW EMPLOYMENT |

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| 1-Oct-26 | RECEDE | JEAN KATHLEEN M. | - | FINANCE OFFICER | MAYBANK SECURITIES, INC. | NEW EMPLOYMENT |
| 1-Oct-26 | CUDIAMAT | MARY JUNE AISSA D. | - | DIRECTOR (SALES) | UOB-KAY HIAN SECURITIES (PHILIPPINES), INC. | NEW EMPLOYMENT |
| 1-Oct-26 | MARCELINO | JONATHAN C. | DEPARTMENT HEAD - INFORMATION TECHNOLOGY | - | FIRST METRO SECURITIES BROKERAGE CORPORATION | RESIGNATION (clearance under process) |
| 1-Oct-26 | BASE | CHRISTINE P. | CORPORATE SECRETARY | - | ASIASEC EQUITIES, INC. | RESIGNATION (no clearance required) |
| 1-Oct-26 | ESPIRITU SANTO | LYNNETH C. | ACCOUNTING SUPERVISOR | - | ABACUS SECURITIES CORPORATION | RESIGNATION (clearance under process) |
| 30-Sep-26 | YUTAN | JEANETTE G. | HEAD OF RESEARCH | - | J. P. MORGAN SECURITIES PHILIPPINES, INC. | RESIGNATION (clearance under process) |
| 30-Sep-26 | TAN | DANIEL ANDREW O. | RESEARCH ANALYST | RESEARCH ANALYST/ DIRECTOR/ TREASURER | J. P. MORGAN SECURITIES PHILIPPINES, INC. | RE-DESIGNATION |
| 30-Sep-26 | GAZA | MARY ANNE JELLI E. | RESEARCH ANALYST | RESEARCH ANALYST /DIRECTOR | J. P. MORGAN SECURITIES PHILIPPINES, INC. | RE-DESIGNATION |
| 30-Sep-26 | CHUA | PENELOPE T. | HEAD OF SALES TRADING | HEAD OF SALES TRADING/ PRESIDENT/ CHAIRMAN OF THE BOARD | J. P. MORGAN SECURITIES PHILIPPINES, INC. | RE-DESIGNATION |
| 30-Sep-26 | SUAREZ | MILAGROS CECILIA D. | HEAD OF DISTRIBUTION/ PRESIDENT/ CHAIRMAN OF THE BOARD | HEAD OF DISTRIBUTION | J. P. MORGAN SECURITIES PHILIPPINES, INC. | RE-DESIGNATION |
| 30-Sep-26 | HAPITAN | MIKAELA ARRIANE BYNNE T. | EQUITY RESEARCH INTERN | - | BPI SECURITIES CORPORATION | RESIGNATION (End of Contract) |
| 30-Sep-26 | CHUA | MARVIN RENE T. | CSR EQUITIES TRADER | - | TIMSON SECURITIES, INC. | RESIGNATION (cleared) |
| 30-Sep-26 | MONTAÑEZ | LEZANRO E. | SALESMAN | - | TIMSON SECURITIES, INC. | RESIGNATION (cleared) |
| 30-Sep-26 | RENTUTAR | PRINCESS G. | ACCOUNTING SUPERVISOR | - | EAST WEST CAPITAL CORPORATION | RESIGNATION (cleared) |

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| 30-Sep-26 | FACTOR | CHERRY F. | ACCOUNTING ASSOCIATE | - | THE FIRST RESOURCES MANAGEMENT & SECURITIES | RESIGNATION (no clearance required) |
| 30-Sep-26 | BASCAO | FRANCESCA ANN G. | ADMIN ASSISTANT/ CORPORATE SECRETARY | - | DA MARKET SECURITIES, INC. | RESIGNATION (clearance under process) |
| 30-Sep-26 | AYCOCHO | JAMES RYAN A. | RISK MANAGEMENT OFFICER | RISK MANAGEMENT OFFICER/ DATA PROTECTION OFFICER | UNICAPITAL SECURITIES, INC. | RE-DESIGNATION |
| 29-Sep-26 | SADANGUEL | KRYSTINE O. | - | NEW ACCOUNT PROCESSOR | AB CAPITAL SECURITIES, INC. | NEW EMPLOYMENT |
| 29-Sep-26 | SIMON | KAILA JAE A. | - | NEW ACCOUNT PROCESSOR | AB CAPITAL SECURITIES, INC. | NEW EMPLOYMENT |
| 29-Sep-26 | TIONGSON II | JOHN RANDELL M. | - | RESEARCH INTERN | SEEDBOX SECURITIES, INC. | NEW EMPLOYMENT |
| 28-Sep-26 | NARRAL | BRENDAN KEL | - | NEW ACCOUNT PROCESSOR | AB CAPITAL SECURITIES, INC. | NEW EMPLOYMENT |
| 28-Sep-26 | TAN | JOSEPH PATRICK M. | - | CLIENT ACQUISITION ASSOCIATE | UNICAPITAL SECURITIES, INC. | NEW EMPLOYMENT |
| 25-Sep-26 | TORRELAVEGA | JO ANN C. | CUSTOMER SUPPORT MANAGER | - | COL FINANCIAL GROUP, INC. | UPDATE: RESIGNATION (cleared) |
| 23-Sep-26 | GARBO | VANESSA LYN T. | - | NEW ACCOUNT PROCESSOR | AB CAPITAL SECURITIES, INC. | NEW EMPLOYMENT |
| 23-Sep-26 | MENGUITO | MAELYKA V. | - | NEW ACCOUNT PROCESSOR | AB CAPITAL SECURITIES, INC. | NEW EMPLOYMENT |
| 22-Sep-26 | ESPIRITU | CHARISSE N. | SENIOR SETTLEMENTS OFFICER | MANAGER, OPERATIONS | CLSA PHILIPPINES, INC. | RE-DESIGNATION |
| 21-Sep-26 | AMANTE | DHEA NICOLE M. | - | EQUITY ANALYST | THE FIRST RESOURCES MANAGEMENT & SECURITIES CORPORATION | NEW EMPLOYMENT |
| 21-Sep-26 | CO | RONALD EMMANUEL A. | DIRECTOR / PRESIDENT | DIRECTOR / PRESIDENT / SALESMAN | CHINA BANK SECURITIES CORPORATION | RE-DESIGNATION |

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| 21-Sep-26 | AVANCEÑA | JOSHUA A. | - | ACCOUNTING ASSOCIATE | MERIDIAN SECURITIES INC. | NEW EMPLOYMENT |
| 21-Sep-26 | DUMLAO | JOSE PATRICIO A. | - | INDEPENDENT DIRECTOR | LUNA SECURITIES, INC. | NEW EMPLOYMENT |
| 18-Sep-26 | TAN | MARGARETT ANDRE V. | ASSISTANT TRADER | - | AB CAPITAL SECURITIES, INC. | RESIGNATION (cleared) |
| 16-Sep-26 | CONSTANTINO | CRISTINA | - | ACCOUNTANT | SUPREME STOCKBROKERS, INC. | NEW EMPLOYMENT |
| 15-Sep-26 | GOOL | BRIKMAN DAVE L. | BRAND SPECIALIST | - | META CAPITAL SECURITIES, INC. | RESIGNATION (cleared) |
| 11-Sep-26 | HISPANO | CADIZ C. | ONLINE BUSINESS HEAD | - | ABACUS SECURITIES CORPORATION | RESIGNATION (cleared) |
| 10-Sep-26 | REYES | NATASHA LUNA T. | RESEARCH ASSOCIATE | - | CLSA PHILIPPINES, INC. | UPDATE: RESIGNATION (cleared) |
| 8-Sep-26 | ZULUETA | MELIZA B. | CERTIFIED UITF SPECIALIST | - | COL FINANCIAL GROUP, INC. | UPDATE: RESIGNATION (cleared) |
| 7-Sep-26 | TAN | SHIRLEY S. | CERTIFIED UITF SPECIALIST | - | COL FINANCIAL GROUP, INC. | UPDATE: RESIGNATION (cleared) |
| 5-Sep-26 | BULAON | SHERYL L. | MARKETING HEAD | - | ABACUS SECURITIES CORPORATION | RESIGNATION (cleared) |
| 4-Sep-26 | GAMEZ | MARITA C. | MGMT INFO OFFICER | - | LUYS SECURITIES CO., INC. | RETIREMENT |
| 4-Sep-26 | NUNEZ | NEMECIANO P. | ADMIN. ASSISTANT | - | AB CAPITAL SECURITIES, INC. | RESIGNATION (cleared) |
| 29-Aug-26 | ONG | AMOS TIMOTHY B. | RESEARCH ANALYST | - | CLSA PHILIPPINES, INC. | UPDATE: RESIGNATION (cleared) |
| 24-Aug-26 | ROSALES | JOHANN Y. | - | OUTSOURCE - FINANCE | PHILIPPINE EQUITY PARTNERS, INC. | NEW EMPLOYMENT |
| 24-Aug-26 | SAN JOSE | KYLA MAE H. | - | OUTSOURCE - FINANCE | PHILIPPINE EQUITY PARTNERS, INC. | NEW EMPLOYMENT |

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| 24-Aug-26 | REYES | KAYE A. | - | OUTSOURCE - FINANCE | PHILIPPINE EQUITY PARTNERS, INC. | NEW EMPLOYMENT |
| 24-Aug-26 | DAMOLO | WIN LAI ANNE R. | - | OUTSOURCE - FINANCE | PHILIPPINE EQUITY PARTNERS, INC. | NEW EMPLOYMENT |